

Attorney Docket No.: 042390.P6413

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

LAWRENCE A. BOOTH, JR.

Application No.: 09/476,979

Filed: December 31, 1999

For: **METHOD AND APPARATUS FOR
COLORMETRIC CHANNEL BALANCING FOR
SOLID STATE IMAGE SENSOR USING TIME**

Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed February 11, 2000, please find enclosed:

(1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;


(2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and

(3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN


Farzad E. Amini, Reg. 42,261

Dated: March 28, 2000

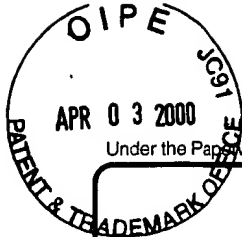
12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: March 28, 2000


Jean Svoboda

03/28/00

Date



SECTOR \$

PTO/SB/21(10/96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#4

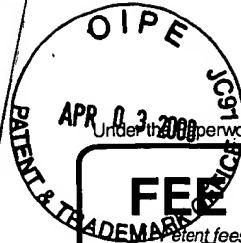
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/476,979
		Filing Date	December 31, 1999
		First Named Inventor	Lawrence A. Booth, Jr.
		Group Art Unit	2739
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	11	Attorney Docket Number	042390.P6413

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	Copy of PTO-1533 Dec. POA (2 pg) Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Farzad E. Amini, Reg. 42,261 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	March 28, 2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 28, 2000			
Typed or printed name	Jean Svoboda		
Signature		Date	03/28/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (2/98)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.28 and 1.28

TOTAL AMOUNT OF PAYMENT (\$) 170.00**Complete if Known**

Application Number	09/476,979
Filing Date	12/31/99
First Named Inventor	Lawrence A. Booth, Jr.
Examiner Name	Not Assigned
Group Art Unit	2739
Attorney Docket Number	042390.P6413

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.48 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)**3. ADDITIONAL FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205	65 Surcharge - late filing fee or oath	130
127	50 227	25 Surcharge - late provisional filing fee or cover sheet.	
139	130 139	130 Non-English specification	
147	2,520 147	2,520 For filing a request for reexamination	
112	920 112	920 Requesting publication of SIR prior to Examiner action	
113	1,840 113	1,840 Requesting publication of SIR after Examiner action	
115	110 215	55 Extension for response within first month	
116	380 216	190 Extension for response within second month	
117	870 217	435 Extension for response within third month	
118	1,360 218	680 Extension for response within fourth month	
128	1,850 228	925 Extension for response within fifth month	
119	300 219	150 Notice of Appeal	
120	300 220	150 Filing a brief in support of an appeal	
121	260 221	130 Request for oral hearing	
138	1,360 138	1,360 Petition to institute a public use proceeding	
140	110 240	55 Petition to revive - unavoidably	
141	1,210 241	605 Petition to revive - unintentionally	
142	1,210 242	605 Utility issue fee (or reissue)	
143	430 243	215 Design issue fee	
144	580 244	290 Plant issue fee	
122	130 122	130 Petitions to the Commissioner	
123	50 123	50 Petitions related to provisional applications	
126	240 126	240 Submission of Information Disclosure Stmt	
581	40 581	40 Recording each patent assignment per property (times number of properties)	40
146	760 246	380 Filing a submission after final rejection (37 CFR 1.129(a))	
149	760 249	380 For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	690 201	345 Utility filing fee	
106	310 206	155 Design filing fee	
107	480 207	240 Plant filing fee	
108	690 208	345 Reissue filing fee	
114	150 214	75 Provisional filing fee	

SUBTOTAL (1) (\$) _____**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** =	X 18.00 =	\$0.00
Multiple Dependent Claims	** =	X 78.00 =	\$0.00

Multiple Dependent Claims

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18 203	9		Claims in excess of 20
102	78 202	39		Independent claims in excess of 3
104	270 204	135		Multiple Dependent claim
109	78 209	39		**Reissue independent claims over original patent
110	18 210	9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00**SUBMITTED BY**

Typed or Printed Name	Farzad E. Amini, Reg. 42,261
Signature	

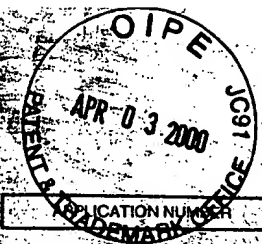
Date

03/28/00

Complete (if applicable)

Reg. Number	
Deposit Account User ID	02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/476,979

12/31/99

BOOTH

J

02390.P6413

0242/0211

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025-1036

2739

DATE MAILED:

02/11/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

04/06/2000 HHARMOL 00000064 09476979 130.00 OP 01 FC:105